SEP 10 2001 SUBSTRATE OF THE PROPERTY OF THE P

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Chen et al	)	
Serial No. 09/898,469	RECE	FIVE
Filed: July 5, 2001	) SEP 2 : ) Technology Cent	5 2007
For: INTERLEAVER FILTERS EMPLOYING NON-BIREFRINGENT ELEMENTS	)	CI CHICH

### REQUEST FOR CORRECTION OF FILING RECEIPT

Honorable Commissioner for Patents Washington, D.C. 20231

Attn: Customer Service Center

Office of Initial Patent Examination

Sir:

The official filing receipt for this application states that the filing date for priority application 60-230,142 is "inconsistent with PTO records."

Applicants respectfully point out that the filing date listed on the Office Action is also inconsistent with the papers filed by applicant. Please note that on page 1 of the specification, <u>as filed</u>, the filing date for Application No. 60/230,142 is given as <u>September 1, 2000</u> (see line 4 of paragraph [001]).

The filing date on the official filing receipt is <u>incorrect</u>, as noted on the filing receipt. But the error was not applicants'.

Correction is requested.

Respectfully submitted, JONES, TULLAR & GOOPER, P.C.

George M. Cooper

Reg. No. 20,201

JONES, TULLAR & COOPER, P.C. P.O. Box 2266 Eads Station Arlington, VA 22202 (703) 415-1500 September 7, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER DRAWINGS FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO TOT CLAIMS IND CLAIMS Chen 09/898.469 07/05/2001 2633 0.00 17 113 9 Interleave

23294 JONES, TULLAR & COOPER, P.C. P.O. BOX 2266 EADS STATION ARLINGTON, VA 22202



CONFIRMATION NO. 8152

**FILING RECEIPT** 

\*OC00000006470040\*

Date Mailed: 08/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Gang Paul Chen, Monterey Park, CA; Avishay Eyal, Pasedena, CA; Anthony S. Kewitsch, Santa Monica, CA; Victor Leyva, Pasedena, CA; William K. Marshall, Pasedena, CA; George A. Rakuljic, Santa Monica, CA; Xiaolin Tong, Irine, CA; Xian Li Yeh, Walnut, CA; Don Zambos, Santa Monica, CA;

#### Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/221,573 07/28/2000 AND CLAIMS BENEFIT OF 60/230,142 09/05/2000 \* (\*) Data inconsistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 08/23/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

-	٠.		
1	11	. 1 6	

Interleaver filters employing non-birefringent elements

Preliminary Class

359

Data entry by : ABRAHAM, SABA

Team : OIPE

Date: 08/24/2001

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the
  title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231